

MEMORANDUM OF UNDERSTANDING BETWEEN THE CALIFORNIA DEPARTMENT OF TRANSPORTATION AND MPO/LARGE UZA

Administrative Hybrid – Partnership between Tulare County Association of Governments, a UZA/MPO, and the California Department of Transportation, Division of Rail and Mass Transportation, for the Federal Transit Administration Section 5310 Program Under Moving Ahead for Progress in the 21st Century.

Overview

The California Department of Transportation (Caltrans), Division of Rail and Mass Transportation (DRMT) has administered the Federal Transit Administration (FTA) Section 5310 grant program since the 1972 as the California Designated Recipient.

Moving Ahead for Progress in the 21st Century (MAP 21), the current federal transportation funding law, allows Metropolitan Planning Organizations (MPOs) or eligible Large Urbanized Area (UZA) agencies to assume all or some of the administrative responsibility traditionally bestowed designated recipients.

Seeking to minimize the effects that MAP 21 administrative transitions could have on the statewide program, a dialogue with Caltrans's 5310 stakeholders took place in the summer of Fiscal Year 2013 to collaboratively determine the best way to honor eligible administrative activities that MAP 21 allows.

This Memorandum of Understanding (MOU) defines responsibilities for one of three options that were identified at the collaborative dialogue. The Option is called the "Administrative Hybrid – Partnership" between UZAs/MPOs and Caltrans. This Option retains Caltrans as the Designated Recipient. However, UZA/MPO project scoring, selection and programming decisions will be delegated to UZAs/ MPOs that chose this option. Caltrans DRMT will continue to support all other program requirements set by the FTA.

A Summary of Responsibilities follows:

Specific MPO Responsibilities:

Establish administrative systems that deliver:

- An application –
 - Caltrans DRMT will provide the Caltrans template that MPOs /UZAs may use if they choose or
 - MPOs/UZAs develop their own project selection criteria that is consistent with their coordinated planning process ensuring that it is fair and equitable, and that all applicants certify and assure that they will comply with all federal-aid requirements, including Title VI, upon project award
- A local call for projects date of October 1, 2014
- Public hearings that are completed before April 1, 2015 (Public Transit Only)
- Project selection that is conducted in accordance with FTA Circular C9070.1G
- Certification that a minimum of 55% of funds shall be made available for Traditional 5310 Projects with priority given to nonprofits; a maximum of 45% of the funds may be allocated to Expanded 5310 projects
- Project selection that is consistent with the FTA Circular C9070.1G and the 5310 Ineligible Expenses Lists attached to this MOU as an Addendum
- Project Selection submitted to Caltrans on the Project Selection template provided by Caltrans
- MPO Certifications and Assurances (template provided by Caltrans) and Resolution to Adopt Project Selection are completed
- Certifications and Assurances and Resolutions submitted with Project Selection to Caltrans on or before February 1, 2015

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In the event that before a project is funded, Caltrans finds the project ineligible and/or insufficient to carry out the intent of the program, Caltrans reserves the right, in consultation with the MPO to cancel the project.

Specific DRMT Responsibilities:

Continue administrative systems that ensure or deliver:

- UZA apportionments are maintained according to FTA notices and/or for apportionment splits determined locally
- UZA's/MPO's Program of Projects are submitted to the California Transportation Commission as information only
- Program of Projects and the Grant Application are submitted to FTA
- Successful Applicant and Procurement workshops
- Execute Standard Agreements with subrecipients
- Ensure subrecipient Certifications and Assurances have been signed and are on file
- Ensure Title VI Plans and DBE Implementation Agreements have been accepted are on file with Caltrans
- Finance management
- Procurement oversight
- Vehicle procurement and inspections
- Project monitoring for compliance with federal requirements and the Caltrans Division of Rail and Mass Transportation State Management Plan
- Asset management and property disposition
- FTA compliance audits
- Invoicing/Reimbursement payment

FTA Section 5310 grant funds will be available to the local agency grantees after DRMT receives final grant approval from FTA to release the funds.

The suspension or cancellation of this MOU must be negotiated between the involved parties, and memorialized in writing.

Approval:

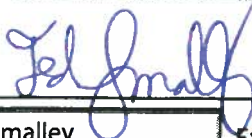


Mark Codey, Acting Chief
Division of Mass Transportation

8-8-14

Date

MPO: Tulare County Association of Governments



Ted Smalley Executive Director

8-7-14

Date